

For Information

Agenda Item: 12.1
Date of Meeting: 30/04/25

To: The Management Committee
From: The Director
Subject: Annual Report on Notifiable Events to The Scottish Housing Regulator

1. Introduction and Purpose

- 1.1 When we are required to submit a Notifiable Event (NE) to The Regulator in accordance with its statutory guidance, the matter is reported to the Committee at the time. In addition, an annual report showing any NEs reported in the year is presented to Committee.
- 1.2 The purpose of this report is to present any NEs that have arisen in the financial year 2024/25.

2. Register of Notifiable Events

- 2.1 Committee will see from the attached Register that only one NE was submitted to The Regulator during the last financial year. This case, which was reported to Committee at its October 2024 meeting, related to the constitutional and name change. A NE was submitted to The Regulator's Portal on 1st November 2024 by our solicitors, TC Young. I had provided our solicitors with all the necessary information to upload to the Portal as part of the NE submission. The Regulator subsequently closed the NE on 11th November 2024 advising it had the "correct information required to close Notifiable Event 31122". This was notified to Committee at the time and was covered in my update report for the November 2024 Committee meeting.

3. Risk

- 3.1 We have considered the subject of Notifiable Events and have identified the key risks under the following risk categories and the measures we have taken to mitigate such risks.

Risk Category	Mitigating Measure
Governance: Fail to identify NEs;	Our approach which includes:

<p>Fail to handle NEs correctly;</p> <p>Legislative and Regulatory:</p> <p>As above;</p> <p>Fail to report NEs to the Regulator;</p> <p>Breaching The Regulator’s statutory guidance on Notifiable Events</p>	<p>Initial review of the situation by the Director (and Managers or Office Bearers if applicable);</p> <p>Determine whether the matter should be reported to our Regulation Manager and a NE submitted;</p> <p>Referring to The Regulator’s Statutory Guidance on Notifiable Guidance;</p> <p>Notifying the Chair and/or Vice Chair of an identified NE;</p> <p>Submission of NE;</p> <p>Reporting NE to next Management Committee Meeting;</p> <p>Liaising with SHR and providing any further information required, until NE is closed.</p>
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4. Delivery of our Strategic Objectives

Area	Related Strategic Objective(s)
<ul style="list-style-type: none"> Compliance with The Regulator’s Statutory Guidance on Notifiable Events. Reports to Committee. 	7) Achieve the highest standards in all that we do

5. Delivery of our Core Values

Area	Related Core Value(s)
<ul style="list-style-type: none"> Compliance with The Regulator’s Statutory Guidance on Notifiable Events. Reports to Committee. 	<ul style="list-style-type: none"> Accountable and Compliant Excellent and Committed

6. Compliance and Assurance

6.1 Working in accordance with the Statutory Guidance on Notifiable Events ensures we are reporting all significant or material matters to The Regulator. This means we are compliant with the following:

Compliance Source	Details
Chapter 3 of the Regulatory Framework	Assurance and Notification

<p>The Standards of Governance and Financial Management for RSLs</p>	<p>Standard 2 – The RSL is open about and accountable for what it does. It understands and takes account of the needs and priorities of its tenants, service users and stakeholders. And its primary focus is the sustainable achievement of these priorities.</p> <p>Guidance 2.5 – The RSL is open, co-operative, and engages effectively with all its regulators and funders, notifying them of anything that may affect its ability to fulfil its obligations. It informs the Scottish Housing Regulator about any significant events such as a major issue, event or change as set out and required in the notifiable events guidance.</p>
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6.2 Evidence Bank

Evidence	Assurance Exercise Location
<ul style="list-style-type: none"> • Cover Report for 30/04/25 Committee meeting • Annual Notifiable Events Report 	<p>Regulatory Standard 2 – Guidance 2.5</p>

6.2.1 Committee is reminded that our Assurance Exercises are available in the Committee Log-in Area of our website, which Committee can access at any time.

7. Summary

7.1 Committee receive an Annual Report on any Notifiable Events we have made in the year. This report covers the financial year 2024/25. Attached is the Report on Notifiable Events which shows that only one NE was submitted during the period.

7.2 Risk has been considered at Section 3.

7.3 Section 4 shows how the matter of Notifiable Events and complying with Statutory Guidance contributes to the delivery of our strategic objectives.

- 7.4 Section 5 shows how the matter of Notifiable Events and complying with Statutory Guidance contributes to the application of our Core Values.
- 7.5 Section 6 sets out how we comply with Regulatory requirements.
- 7.6 Committee is asked to note this report and the attached Report on Notifiable Events which covers the period 1st April 2024 to 31st March 2025.



Register of Notifiable Events

Financial Year	Date Notified	Ref No.	Event Category	Sub-category	Event Details	Reported by	Method	Status
2024/25	01/11/24	31122	Constitutional and Organisational Changes	Change of name, office or constitution	The Association has adopted new rules based on the SFHA 2020 model and changed its name to Rosehill Housing Association Limited.	Rosehill's Solicitors – TC Young	SHR Portal	Closed – 11/11/24